

AMENDMENTS TO THE CLAIMS

The listing of the claims will replace all prior versions, and listings, of claims in the application:

LISTING OF CLAIMS:

Claims 1-24 (canceled)

Claim 25 (original): A method for analyzing multivariate spectral data, comprising the steps of:

a) creating a calibration model for a calibration set of multivariate spectral data A by:

i) obtaining a set of reference component values C representative of at least one of the spectrally active components in the calibration set of multivariate spectral data A ,

ii) estimating pure-component spectra \hat{K} for the at least one of the spectrally active components according to $\hat{K} = (C^T C)^{-1} C^T A = C^+ A$,

iii) estimating a set of component values \hat{C} using the estimated pure-component spectra \hat{K} according to $\hat{C} = A \hat{K}^T (\hat{K} \hat{K}^T)^{-1} = A (\hat{K}^T)^+$,

iv) obtaining component residuals E_c according to $E_c = \hat{C} - C$;

v) augmenting the set of reference component values C with a vector of the component residuals E_c to obtain a set of augmented component values \tilde{C} , and

vi) obtaining augmented pure-component spectra $\hat{\tilde{K}}$ from the set of augmented component values \tilde{C} according to $\hat{\tilde{K}} = (\tilde{C}^T \tilde{C})^{-1} \tilde{C}^T A = \tilde{C}^+ A$; and

b) predicting a set of component values $\hat{\hat{C}}$ for a prediction set of multivariate spectral data A_p according to $\hat{\hat{C}} = A_p \hat{\tilde{K}}^T (\hat{\tilde{K}} \hat{\tilde{K}}^T)^{-1} = A_p (\hat{\tilde{K}}^T)^+$.

Claim 26 (original): The method of Claim 25, further comprising repeating the following steps at least once prior to step b):

a) estimating augmented component values $\hat{\tilde{C}}$ according to

$\hat{\tilde{C}} = \mathbf{A}\hat{\tilde{K}}^T(\hat{\tilde{K}}\hat{\tilde{K}}^T)^{-1} = \mathbf{A}(\hat{\tilde{K}}^T)^+$ using the augmented pure-component spectra $\hat{\tilde{K}}$ from step a)vi),

b) calculating updated estimated component values \hat{C}' consisting of the estimated component values in $\hat{\tilde{C}}$ corresponding to the known reference component values in C ,

c) obtaining new component residuals E_c' according to $E_c' = \hat{C}' - C$, and

d) further augmenting the set of augmented component values $\hat{\tilde{C}}$ with a vector of the component residuals E_c' to obtain a new set of augmented component values $\tilde{\tilde{C}}$ to be used to obtain new augmented pure-component spectra $\hat{\tilde{K}}$ in step a)vi).

Claim 27 (original): The method of Claim 26, wherein an F-test is used to select the number of times that steps a) through d) are repeated.

Claim 28 (original): The method of Claim 25, further comprising the step of augmenting \hat{K} with at least one vector representing a spectral shape that is representative of at least one additional source of spectral variation in the calibration set prior to step a)iii).

Claim 29 (original): The method of Claim 25, further comprising the step of augmenting \hat{K} to account for baseline variations in the calibration set prior to step a)iii).

Claim 30 (original): The method of Claim 25, further comprising the step of augmenting $\hat{\tilde{K}}$ with at least one vector representing a spectral shape that is representative of at least one additional source of spectral variation in the prediction set prior to step b).

Claim 31 (original): The method of Claim 25, further comprising the step of augmenting $\hat{\tilde{K}}$ account for baseline variations in the calibration set prior to step b).

Claim 32 (original): The method of Claim 25, wherein the reference component values C comprise concentrations of the spectrally active components.

Claim 33 (original): The method of Claim 25, wherein the spectrally active components are selected from the group consisting of chemical specie, chemical interactions among species, physical variations, temperature variations, humidity variations, spectrometer drift, changes in spectrometers, and insertion effects.

Claim 34 (original): The method of Claim 25, wherein the vector comprises a set of random numbers.

Claim 35 (original): A method of multivariate spectral analysis, comprising the steps of:

- a) obtaining at least two reference variables for a first sample set comprised of at least one spectrally active component,
- b) estimating at least one of the reference variables for the first sample set,
- c) obtaining a residual between the at least one of the reference variables and its corresponding at least one estimated reference variable,
- d) augmenting the at least one of the reference variables with a measure of the corresponding residual, and
- e) predicting a value of at least one variable in a second sample set using the augmented reference variable obtained from the first sample.

Claim 36 (original): The method of Claim 35, wherein the reference variables comprise spectra for the first sample set and component values of at least one component in the first sample set.

Claim 37 (original): The method of Claim 35, wherein the augmentation step d) is repeated until all sources of spectral variation are accounted for in the first sample set.

Claim 38 (original): The method of Claim 35, further comprising augmenting the at least one of the reference variables with at least one random number.

Claim 39 (original): A method of multivariate spectral analysis, comprising the steps of:

- a) obtaining an estimate of spectral error covariance E_A for measured set of multivariate spectral data A ;
- b) decomposing the spectral error covariance E_A according to $E_A = TP + E$, where T is a set of $n \times r$ scores and P is a set of $r \times p$ loading vectors obtained from factor analysis of the spectral error covariance E_A , and E is a set of $n \times p$ random errors and spectral variations not useful for prediction;

- c) guessing pure-component spectra K for the set of multivariate spectral data A ;

- d) predicting a set of component values \hat{C} according to

$$\hat{C} = AK^T(KK^T)^{-1} = A(K^T)^+;$$

- e) augmenting the set of predicted component values \hat{C} with at least one vector of the T scores to obtain a first set of augmented component values $\hat{\hat{C}}$;

- f) estimating augmented pure-component spectra $\hat{\hat{K}}$ according to

$$\hat{\hat{K}} = (\hat{\hat{C}}^T \hat{\hat{C}})^{-1} \hat{\hat{C}}^T A = \hat{\hat{C}}^+ A;$$

- g) testing for convergence according to $\|A - \hat{\hat{C}}\hat{\hat{K}}\|^2$;

- h) predicting a second set of augmented component values $\hat{\hat{\hat{C}}}$ according to

$$\hat{\hat{\hat{C}}} = A\hat{\hat{K}}^T(\hat{\hat{K}}\hat{\hat{K}}^T)^{-1} = A(\hat{\hat{K}}^T)^+;$$

- i) replacing the augmented portion of the second set of augmented component values $\hat{\hat{\hat{C}}}$ with the at least one vector of the T scores to obtain a third set of augmented component values $\hat{\hat{\hat{\hat{C}}}}$; and

- j) repeating steps f) through i) at least once.

Claim 40 (original): The method of Claim 39, wherein the steps f) through i) are repeated until the test of step g) converges to obtain an alternating classical least squares solution for $\hat{\hat{K}}$ and $\hat{\hat{C}}$.

Claim 41 (original): The method of Claim 39, further comprising replacing the augmented portion of the augmented pure-component spectra $\hat{\mathbf{K}}$ with at least one vector of the \mathbf{P} loading vectors prior to step h).

Claim 42 (original): The method of Claim 39, further comprising augmenting $\hat{\mathbf{K}}$ with at least one vector representing a spectral shape that is representative of at least one additional source of spectral variation prior to step h).

Claim 43 (original): The method of Claim 39, further comprising applying at least one constraint to the non-augmented portion of $\hat{\mathbf{K}}$ at step f).

Claim 44 (original): The method of Claim 43, wherein the at least one constraint is selected from the group consisting of non-negativity, equality, closure, monotonic constraint, unimodality, and selectivity.

Claim 45 (original): The method of Claim 39, further comprising applying at least one constraint to the non-augmented portion of $\hat{\mathbf{C}}$ at step h).

Claim 46 (original): The method of Claim 45, wherein the at least one constraint is selected from the group consisting of non-negativity, equality, closure, monotonic constraint, unimodality, and selectivity.

Claim 47 (original): The method of Claim 39, wherein the guessed pure-component spectra \mathbf{K} comprises random numbers.

Claim 48 (original): The method of Claim 39, wherein the measured set of multivariate spectral data \mathbf{A} comprises image data.

Claim 49 (original): The method of Claim 48, wherein the spectral error covariance \mathbf{E}_A is obtained from a shift difference generated from a single image.

Claim 50 (original): The method of Claim 48, wherein the spectral error covariance \mathbf{E}_A is obtained from repeat image spectra.

Claim 51 (original): A method of multivariate spectral analysis, comprising the steps of:

a) obtaining an estimate of spectral error covariance E_A for measured set of multivariate spectral data A ;

b) decomposing the spectral error covariance E_A according to $E_A = TP + E$, where T is a set of $n \times r$ scores and P is a set of $r \times p$ loading vectors obtained from factor analysis of the spectral error covariance E_A , and E is a set of $n \times p$ random errors and spectral variations not useful for prediction;

c) guessing pure-component spectra K for the set of multivariate spectral data A ;

d) augmenting the pure-component spectra K with at least one vector of the P loading vectors to obtain first augmented pure-component spectra \tilde{K} ;

e) predicting a first set of augmented component values \hat{C} according to

$$\hat{C} = A\tilde{K}^T(\tilde{K}\tilde{K}^T)^{-1} = A(\tilde{K}^T)^+;$$

f) estimating second augmented pure-component spectra \hat{K} according to

$$\hat{K} = (\hat{C}^T\hat{C})^{-1}\hat{C}^T A = \hat{C}^+ A;$$

g) testing for convergence according to $\|A - \hat{C}\hat{K}\|^2$;

h) replacing the augmented portion of the second augmented pure-component spectra \hat{K} with the at least one vector of the P loading vectors to obtain third augmented pure-component spectra $\hat{\hat{K}}$; and

i) predicting a second set of augmented component values $\hat{\hat{C}}$ according to

$$\hat{\hat{C}} = A\hat{\hat{K}}^T(\hat{\hat{K}}\hat{\hat{K}}^T)^{-1} = A(\hat{\hat{K}}^T)^+;$$

j) repeating steps f) through i) at least once.

Claim 52 (original): The method of Claim 51, wherein the steps f) through i) are repeated until the test of step g) converges to obtain an alternating classical least squares solution for \hat{K} and \hat{C} .

Claim 53 (original): The method of Claim 51, further comprising replacing the augmented portion of the set of augmented component values $\hat{\mathbf{C}}$ with at least one vector of the T scores prior to step h).

Claim 54 (original): The method of Claim 51, further comprising augmenting $\hat{\mathbf{K}}$ with at least one vector representing a spectral shape that is representative of at least one additional source of spectral variation prior to step h).

Claim 55 (original): The method of Claim 51, further comprising applying at least one constraint to the non-augmented portion of $\hat{\mathbf{K}}$ at step f).

Claim 56 (original): The method of Claim 55, wherein the at least one constraint is selected from the group consisting of non-negativity, equality, closure, monotonic constraint, unimodality, and selectivity.

Claim 57 (original): The method of Claim 51, further comprising applying at least one constraint to the non-augmented portion of $\hat{\mathbf{C}}$ at step h).

Claim 58 (original): The method of Claim 57, wherein the at least one constraint is selected from the group consisting of non-negativity, equality, closure, monotonic constraint, unimodality, and selectivity.

Claim 59 (original): The method of Claim 51, wherein the guessed pure-component spectra \mathbf{K} comprises random numbers.

Claim 60 (original): The method of Claim 51, wherein the measured set of multivariate spectral data \mathbf{A} comprises image data.

Claim 61 (original): The method of Claim 60, wherein the estimate of the error covariance \mathbf{E}_A is obtained from a shift difference generated from a single image.

Claim 62 (original): The method of Claim 60, wherein the estimate of the error covariance \mathbf{E}_A is obtained from repeat image spectra.

Claim 63 (original): A method of multivariate spectral analysis, comprising the steps of:

- a) obtaining an estimate of the spectral error covariance \mathbf{E}_A for measured set of multivariate spectral data \mathbf{A} ;
- b) decomposing the spectral error covariance \mathbf{E}_A according to $\mathbf{E}_A = \mathbf{T}\mathbf{P} + \mathbf{E}$, where \mathbf{T} is a set of $n \times r$ scores and \mathbf{P} is a set of $r \times p$ loading vectors obtained from factor analysis of the spectral error covariance \mathbf{E}_A , and \mathbf{E} is a set of $n \times p$ random errors and spectral variations not useful for prediction;
- c) guessing a set of component values \mathbf{C} for the set of multivariate spectral data \mathbf{A} ;
- d) estimating pure-component spectra $\hat{\mathbf{K}}$ according to $\hat{\mathbf{K}} = (\mathbf{C}^T \mathbf{C})^{-1} \mathbf{C}^T \mathbf{A} = \mathbf{C}^+ \mathbf{A}$;
- e) augmenting the pure-component spectra $\hat{\mathbf{K}}$ with at least one vector of the \mathbf{P} loading vectors to obtain first augmented pure-component spectra $\hat{\hat{\mathbf{K}}}$;
- f) predicting a first set of augmented component values $\hat{\hat{\mathbf{C}}}$ according to $\hat{\hat{\mathbf{C}}} = \mathbf{A} \hat{\hat{\mathbf{K}}}^T (\hat{\hat{\mathbf{K}}} \hat{\hat{\mathbf{K}}}^T)^{-1} = \mathbf{A} (\hat{\hat{\mathbf{K}}}^T)^+$;
- g) testing for convergence according to $\|\mathbf{A} - \hat{\hat{\mathbf{C}}} \hat{\hat{\mathbf{K}}}\|^2$;
- h) estimating second augmented pure-component spectra $\hat{\hat{\hat{\mathbf{K}}}}$ according to $\hat{\hat{\hat{\mathbf{K}}}} = (\hat{\hat{\mathbf{C}}}^T \hat{\hat{\mathbf{C}}})^{-1} \hat{\hat{\mathbf{C}}}^T \mathbf{A} = \hat{\hat{\mathbf{C}}}^+ \mathbf{A}$;
- i) replacing the augmented portion of the second augmented pure-component spectra $\hat{\hat{\hat{\mathbf{K}}}}$ with the at least one vector of the \mathbf{P} loading vectors to obtain a third augmented pure-component spectra $\hat{\hat{\hat{\hat{\mathbf{K}}}}}$ and
- j) repeating steps f) through i) at least once.

Claim 64 (original): The method of Claim 63, wherein the steps f) through i) are repeated until the test of step g) converges to obtain an alternating classical least squares solution for $\hat{\hat{\mathbf{K}}}$ and $\hat{\hat{\mathbf{C}}}$.

Claim 65 (original): The method of Claim 63, further comprising replacing the augmented portion of the set of augmented component values $\hat{\hat{\mathbf{C}}}$ with at least one vector of the \mathbf{T} scores prior to step h).

Claim 66 (original): The method of Claim 63, further comprising augmenting $\hat{\mathbf{K}}$ with at least one vector representing a spectral shape that is representative of at least one additional source of spectral variation prior to step h).

Claim 67 (original): The method of Claim 63, further comprising applying at least one constraint to the non-augmented portion of $\hat{\mathbf{K}}$ at step h).

Claim 68 (original): The method of Claim 67, wherein the at least one constraint is selected from the group consisting of non-negativity, equality, closure, monotonic constraint, unimodality, and selectivity.

Claim 69 (original): The method of Claim 63, further comprising applying at least one constraint to the non-augmented portion of $\hat{\mathbf{C}}$ at step f).

Claim 70 (original): The method of Claim 69, wherein the at least one constraint is selected from the group consisting of non-negativity, equality, closure, monotonic constraint, unimodality, and selectivity.

Claim 71 (original): The method of Claim 63, wherein the guessed set of component values \mathbf{C} comprises random numbers.

Claim 72 (original): The method of Claim 52, wherein the measured set of multivariate spectral data \mathbf{A} comprises image data.

Claim 73 (original): The method of Claim 62, wherein the spectral error covariance \mathbf{E}_A is obtained from a shift difference generated from a single image.

Claim 74 (original): The method of Claim 62, wherein the spectral error covariance \mathbf{E}_A is obtained from repeat image spectra.

Claim 75 (original): A method of multivariate spectral analysis, comprising the steps of:

a) obtaining an estimate of the spectral error covariance E_A for measured set of multivariate spectral data A ;

b) decomposing the spectral error covariance E_A according to $E_A = TP + E$, where T is a set of $n \times r$ scores and P is a set of $r \times p$ loading vectors obtained from factor analysis of the spectral error covariance E_A , and E is a set of $n \times p$ random errors and spectral variations not useful for prediction;

c) guessing a set of component values C for the set of multivariate spectral data A ;

d) augmenting the set of component values C with at least one vector of the T scores to obtain a first set of augmented component values \tilde{C} ;

e) estimating augmented pure-component spectra \hat{K} according to

$$\hat{K} = (\tilde{C}^T \tilde{C})^{-1} \tilde{C}^T A = \tilde{C}^+ A;$$

f) testing for convergence according to $\|A - \tilde{C}\hat{K}\|^2$;

g) predicting a second set of augmented component values $\hat{\tilde{C}}$ according to

$$\hat{\tilde{C}} = A\hat{K}^T(\hat{K}\hat{K}^T)^{-1} = A(\hat{K}^T)^+;$$

h) replacing the augmented portion of the second set of augmented component values $\hat{\tilde{C}}$ with the at least one vector of the T scores to obtain a third set of augmented component values $\hat{\tilde{C}}$ and

i) repeating steps e) through h) at least once, using the augmented component values $\hat{\tilde{C}}$ in step f).

Claim 76 (original): The method of Claim 75, wherein the steps e) through h) are repeated until the test of step g) converges to obtain an alternating classical least squares solution for \hat{K} and $\hat{\tilde{C}}$.

Claim 77 (original): The method of Claim 75, further comprising replacing the augmented portion of the augmented pure-component spectra \hat{K} with at least one vector of the P loading vectors prior to step e).

Claim 78 (original): The method of Claim 75, further comprising augmenting $\hat{\mathbf{K}}$ with at least one vector representing a spectral shape that is representative of at least one additional source of spectral variation prior to step e).

Claim 79 (original): The method of Claim 75, further comprising applying at least one constraint to the non-augmented portion of $\hat{\mathbf{K}}$ at step e).

Claim 80 (original): The method of Claim 79, wherein the at least one constraint is selected from the group consisting of non-negativity, equality, closure, monotonic constraint, unimodality, and selectivity.

Claim 81 (original): The method of Claim 75, further comprising applying at least one constraint to the non-augmented portion of $\hat{\mathbf{C}}$ at step g).

Claim 82 (original): The method of Claim 81, wherein the at least one constraint is selected from the group consisting of non-negativity, equality, closure, monotonic constraint, unimodality, and selectivity.

Claim 83 (original): The method of Claim 75, wherein the guessed set of component values \mathbf{C} comprises random numbers.

Claim 84 (original): The method of Claim 75, wherein the measured set of multivariate spectral data \mathbf{A} comprises image data.

Claim 85 (original): The method of Claim 84, wherein the spectral error covariance \mathbf{E}_A is obtained from a shift difference generated from a single image.

Claim 86 (original): The method of Claim 84, wherein the spectral error covariance \mathbf{E}_A is obtained from repeat image spectra.

CONCLUSION

Applicants have corrected the identification of the claims and urge that the application is now in condition for allowance.

Respectfully submitted,



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CERTIFICATION UNDER 37 CFR 1.8

I hereby certify that this correspondence and documents referred to herein were deposited with the United States Postal Service as first class mail addressed to: Commissioner for Patents, Alexandria, VA 22313-1450 on the date shown below.

Date: 5/10/04

By: Martha Trujillo